

<b>FORM PTO-1449 U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>				Attorney Docket Number 9233-63		Serial No. 09/873,797	
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)				Applicants: Ekwuribe et al.			
				Filing Date: June 4, 2000		Group	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<i>JR</i>	1.	4,179,337	12/18/79	Davis et al.	435	181	
<i>JR</i>	2.	5,359,030	10/25/94	Ekwuribe	530	303	
<i>JR</i>	3.	5,405,877	04/11/95	Greenwald et al.	514	772.3	
<i>JR</i>	4.	5,567,422	10/22/96	Greenwald	424	78.3	
<i>JR</i>	5.	5,681,811	10/28/97	Ekwuribe	514	8	
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<i>JR</i>	X6.	Banting et al., "Pancreatic Extracts in the Treatment of Diabetes Mellitus," <i>Can. Med. Assoc. J.</i> , 12: 141-146 (1922).					
<i>JR</i>	7.	H. Allcock & F. Lampe, "Contemporary Polymer Chemistry," 394-403 (2nd. ed., 1991).					
<i>JR</i>	8.	Y. Chen & G. Baker, "Synthesis and Properties of ABA Amphiphiles," <i>J. Org. Chem.</i> , 64: 6870-6873 (1999).					
<i>JR</i>	9.	"Pharmaceutical Research: Official Journal of the American Association of Pharmaceutical Scientists," 3(6): 318-326 (1986).					
<i>JR</i>	X10	Coudert et al., "A Novel, Unequivocal Synthesis of Polyethylene Glycols," <i>Synthetic Communications</i> , 16(1): 19-26 (1986).					
<i>JR</i>	Y1	J. Milton Harris, "Laboratory Synthesis of Polyethylene Glycol Derivatives," <i>J. Macromol. Science - Rev. Macromol. Chem. Phys.</i> , C25(3): 325-373 (1985).					

EXAMINER  
EXAMINERJeffrey E. RussellDATE CONSIDERED January 31, 2004  
Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known			
				Application Number	09/873,797		
				Filing Date	June 4, 2001		
				First Named Inventor	Ekwuribe		
				Group Art Unit	1651		
				Examiner Name			
				Attorney Docket Number	9233.63		
U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Class / Subclass Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code (if known)				
JR	1	4,223,163		Guilloty	09/16/1980	568/618	
JR	2	4,801,575		Pardridge	01/31/1989	514/14	
JR	3	5,099,074		Mueller et al.	03/24/1992	568/617	
JR	4	5,122,614		Zalipsky	06/16/1992	5481520	
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JR	13	5,854,208		Jones et al.	12/29/1998	514/13	
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FOREIGN PATENT DOCUMENTS						Class / Subclass Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
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JR	16	EP	0 822 218 A2		Glenn A. Miller, Michael W. Jorgenson, Richard Budnik	02/04/1998	
JR	17	JP	01207320 (Abstract Only)		Daicel Chem Ind Ltd.	08/21/1989	
JR	18	PCT	WO 01/12230		Myung-Ok Park, Kang Choon Lee	02/22/2001	
OTHER NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					T
JR	19 ✓	Francis, G.E., et al., <i>Polyethylene Glycol Modification: Relevance of Improved Methodology to Tumour Targeting</i> , <i>Journal of Drug Targeting</i> , Vol. 3, pp. 321-40 (1996)					
JR	20 ✓	Guzman, Angel, et al., <i>Effects of Fatty Ethers and Stearic Acid of the Gastrointestinal Absorption of Insulin</i> , <i>PRHSJ</i> , Vol. 9, No. 2, pp. 155-159 (August 1990)					

Examiner Signature	Jeffrey E. Russel	Date Considered	January 31, 2004
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with AIA 37 C.F.R. 1.56  
not in conformance



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

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Complet if Known

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Application Number	09/873,797
Filing Date	June 4, 2001
First Named Inventor	Ekwuribe
Group Art Unit	1711
Examiner Name	Duc Truong

Attorney Docket Number 9233.54

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- |      |   |
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| 21 ✓ | Krishnan, B. Radha, et al., <i>Stability and Physical Characteristics of Orally Active Amphiphilic Human Insulin Analog, Methoxy (Polyethylene Glycol) Hexanoyl Human Recombinant Insulin (HIM2)</i> , <u>Proceed. Int'l. Symp. Control. Rel. Bioact. Mater.</u> , Vol. 27 pp. 1038-39 (2000) |
| 22 ✓ | Lindsay, D.G., et al., <i>The Acetylation of Insulin</i> , <u>Biochem J.</u> , Vol. 121, pp. 737-745 (1971)   |
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| 24 ✓ | Moghaddam, Amir, <i>Use of polyethylene glycol polymers for bioconjugations and drug development</i> , <u>American Biotechnology Laboratory</u> , pp. 42, 44 (July 2001)  |
| 25 ✓ | Neubauer, H. Paul, et al., <i>Influence of Polyethylene Glycol Insulin on Lipid Tissues of Experimental Animals</i> , <u>Diabetes</u> , Vol. 32, pp. 953-58 (October 1983)  |
| 26 ✓ | Shen, Wei-Chiang, et al., (C) <i>Means to Enhance Penetration; (3) Enhancement of polypeptide and protein absorption by macromolecular carriers via endocytosis and transcytosis</i> , <u>Advanced Drug Delivery Reviews</u> , Vol. 8, pp. 93-113 (1992)                                      |
| 27 ✓ | Sirokman, Geza, et al., <i>Refolding and proton pumping activity of a polyethylene glycol-bacteriorhodopsin water-soluble conjugate</i> , <u>Protein Science</u> , Vol. 2, pp. 1161-1170 (1993)   |
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| 29 ✓ | Wei, Jiang, et al., <i>A Poly(Ethylene Glycol) Water-soluble Conjugate of Porin: Refolding to the Native State</i> , <u>Biochemistry</u> , Vol. 34, pp. 6408-6415 (1995)  |
| 30 ✓ | Xia, Jiding, et al., <i>Effects of polyoxyethylene chain length distribution on the interfacial properties of polyethylene glycol n-dodecyl ether</i> , <u>Yingyong Huaxue</u> , Vol. 2, No. 4, pp. 59-65 (Abstract Only) (1985)  |
| 31 ✓ | Zalipsky, Samuel, et al., <i>Peptide Attachment to Extremities of Liposomal Surface Grafted PEG Chains: Preparation of the Long-Circulating Form of Laminin Pentapeptide, YIGSR</i> , <u>Bioconjugate Chem.</u> , Vol. 6, pp. 705-8 (1995)  |

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Applicants:

Ekwuribe et al.

TECH CENTER 1600/2900

Filing Date:

June 4, 2000

Group

1651

## U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
JBL	1.	5,349,052	09/20/94	Delgado et al.	530	351	
JBL	2.	6,042,822	03/28/00	Gilbert et al.	424	85.7	

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JBL	✓ 3.	WO97/14740	04/24/97	PCT	C08G	65/32	X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JBL	✓ 4.	International Search Report corresponding to PCT/US02/17567; Date of Mailing: September 19, 2002.
JBL	✓ 5.	Vreeland et al., "Molar Mass Profiling of Synthetic Polymers by Free-Solution Capillary Electrophoresis of DNA-Polymer Conjugates," <i>Analytical Chemistry</i> , 73(8): 1795-1803 (April 15, 2001).

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	MIXTURES OF DRUG-OLIGOMER CONJUGATES COMPRISING POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING SAME						
Application Number:	09/873797						
Confirmation Number:	2859						
First Named Applicant:	Nnochiri Ekwuribe						
Attorney Docket Number:	9233.63						
Art Unit:	1654						
Search string:	( 5292802 or 5304473 or 5308889 or 5312808 or 5324775 or 5328955 or 5405621 or 5405877 or 5413791 or 5415872 or 5438040 or 5444041 or 5446091 or 5457066 or 5461031 or 5504188 or 5506203 or 5518998 or 5523348 or 5529915 or 5545618 or 5550188 or 5597797 or 5606038 or 5631347 or 5646242 or 5650388 or 5658878 or 5681567 or 5693609 or 5693769 or 5700904 or 5707648 or 5738846 or 5747445 or 5747642 or 5750497 or 5763396 or 5766620 or 5824638 or 5830853 or 5830918 or 5843866 or 5849860 or 5853748 or 5856451 or 5866538 or 5866584 or 5874111 or 5898028 ).pn.						
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
PL	1	5292802	1994-03-08	Rhee et al.	S25/S4.1		
PL	2	5304473	1994-04-19	Belagaje et al.	435/69.7		
PL	3	5308889	1994-05-03	Rhee et al.	S23/113		
PL	4	5312808	1994-05-17	Shorr et al.	S14/6		
PL	5	5324775	1994-06-28	Rhee et al.	S25/S4.2		
PL	6	5328955	1994-07-12	Rhee et al.	S25/S4.1		
PL	7	5405621	1995-04-11	Sipos	424/490		
PL	8	5405877	1995-04-11	Greenwald et al.	S14/772.3		

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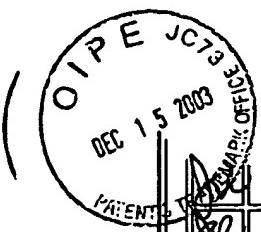
9	5413791	1995-05-09	Rhee et al.	424/432
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12	5444041	1995-08-22	Owen et al.	514/2
13	5446091	1995-08-29	Rhee et al.	525/54.1
14	5457066	1995-10-10	Frank et al.	435/68.1
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16	5504188	1996-04-02	Baker et al.	530/304
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31	5693769	1997-12-02	Kahne et al.	536/5
32	5700904	1997-12-23	Baker et al.	530/305
33	5707648	1998-01-13	Yiv	424/450
34	5738846	1998-04-14	Greenwald et al.	424/85.7
35	5747445	1998-05-05	Backstrom et al.	514/4
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42	5830918	1998-11-03	Sportsman et al.	514/648
43	5843866	1998-12-01	Parker <del>Weiner</del> et al.	504/116
44	5849860	1998-12-15	Hakimi et al.	528/370

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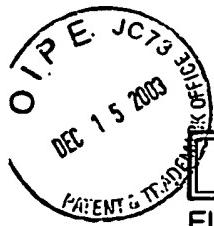
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X	48	5866584	1999-02-02	Cincotta et al.	514/288
X	49	5874111	1999-02-23	Maitra et al.	524/499
X	50	5898028	1999-04-27	Jensen et al.	514/4

Signature

Examiner Name	Date
Jeffrey E. Russell	January 31, 2004



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Title of Invention	MIXTURES OF DRUG-OLIGOMER CONJUGATES COMPRISING POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING SAME						
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Confirmation Number:	2859						
First Named Applicant:	Nnochiri Ekwuribe						
Attorney Docket Number:	9233.63						
Art Unit:	1654						
Search string:	( 3256153 or 3868356 or 3919411 or 3950517 or 4003792 or 4044196 or 4087390 or 4093574 or 4100117 or 4229438 or 4253998 or 4277394 or 4338306 or 4348387 or 4410547 or 4469681 or 4472382 or 4554101 or 4579730 or 4585745 or 4622392 or 4684524 or 4698264 or 4717566 or 4744976 or 4772471 or 4797288 or 4801575 or 4839341 or 4840799 or 4849405 or 4917888 or 4935246 or 4946828 or 4957910 or 4963367 or 4963526 or 4994439 or 5013556 or 5055300 or 5055304 or 5089261 or 5093198 or 5157021 or 5162430 or 5164366 or 5202415 or 5206219 or 5283236 or 5286637 or 20020160938 or 20030144468 or 20030087808 or 20030083232 or 20030069170 or 20030060606 or 20030050228 or 20030027995 or 20030027748 or 20030004304 ).pn.						
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
RJL	1	3256153	1966-06-14	Heimlech		424	/476
RJL	2	3868356	1975-02-25	Smyth		530	/410
RJL	3	3919411	1975-11-11	Glass et al.		424	/213,1
RJL	4	3950517	1976-04-13	Lindsay et al.		930	/016,621
RJL	5	4003792	1977-01-18	Mill et al.		424	/193,1

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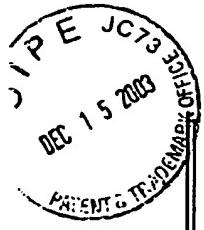
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	7	4087390	1978-05-02	Shields	S14/806
	8	4093574	1978-06-06	Shields	S14/806
	9	4100117	1978-07-11	Shields	424/117
	10	4229438	1980-10-21	Fujino et al.	S14/885
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	14	4348387	1982-09-07	Brownlee et al.	S30/303
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48	5206219	1993-04-27	Desai	S14/3
49	5283236	1994-02-01	Chiou	S14/2
50	5286637	1994-02-15	Veronese et al.	435/183

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020160938	2002-10-31	Brandenberg et al.	S14/3		
	2	20030144468	2003-07-31	Ekwuribe et al.	S28/425		
	3	20030087808	2003-05-08	Soltero et al.	S14/3		
	4	20030083232	2003-05-01	Soltero et al.	S14/3		
	5	20030069170	2003-04-10	Soltero et al.	S14/2		
	6	20030060606	2003-03-27	Ekwuribe et al.	S30/399		
	7	20030050228	2003-03-13	Ekwuribe et al.	S14/3		
	8	20030027995	2003-01-02	Ekwuribe et al.	S30/399		
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	10	20030004304	2003-01-02	Ekwuribe et al.	S28/425		

Signature

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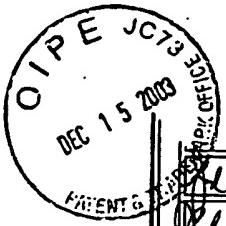
Title of Invention	MIXTURES OF DRUG-OLIGOMER CONJUGATES COMPRISING POLYALKYLENE GLYCOL, USES THEREOF, AND METHODS OF MAKING SAME						
Application Number:	09/873797						
Confirmation Number:	2859						
First Named Applicant:	Nnochiri Ekwuribe						
Attorney Docket Number:	9233.63						
Art Unit:	1654						
Search string:	( 5902588 or 5905140 or 5907030 or 5922675 or 5932462 or 5942248 or 5948751 or 5952008 or 5952297 or 5962267 or 5968549 or 5969040 or 5981709 or 5985263 or 6004574 or 6025235 or 6034054 or 6043214 or 6051551 or 6057292 or 6063761 or 6093391 or 6113906 or 6165976 or 6177087 or 6191105 or 6200602 or 6211144 or 6248363 or 6251856 or 6258377 or 6268335 or 6306440 or 6309633 or 6310038 or 6323311 or 6335316 or 6342225 or 6506730 ).pn.						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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4	2	5905140	1999-05-18	Hansen	530	303	
4	3	5907030	1999-05-25	Shen et al.	530	331	
4	4	5922675	1999-07-13	Baker et al.	514	4	
4	5	5932462	1999-08-03	Harris et al.	435	188	
4	6	5942248	1999-08-24	Barnwell	424	457	
4	7	5948751	1999-09-07	Kimer et al.	514	4	
4	8	5952008	1999-09-14	Backstrom et al.	424	499	
4	9	5952297	1999-09-14	De Felippis et al.	514	3	
4	10	5962267	1999-10-05	Shin et al.	435	69.4	
4	11	5968549	1999-10-19	New et al.	424	450	

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PL	13	5981709	1999-11-09	Greenwald et al.	S30/351
PL	14	5985263	1999-11-16	Lee et al.	424/85.2
PL	15	6004574	1999-12-21	Backstrom et al.	S14/3
PL	16	6025235	2000-02-15	Kriva, Espie, Campbell et al.	438/289
PL	17	6034054	2000-03-07	De Felippis et al.	S14/4
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PL	22	6093391	2000-07-25	Kabanov et al.	424/85.1
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PL	26	6191105	2001-02-20	Ekwuribe et al.	S14/3
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PL	29	6248363	2001-06-19	Patel et al.	424/497
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PL	36	6323311	2001-11-27	Liu et al.	S30/303
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Signature

Examiner Name	Date
Jeffrey B. Russel	January 3, 2004